

Article **Directional** ℓ_0 Sparse Modeling for Image Stripe Noise Removal

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- Abstract: Remote sensing images are often polluted by stripe noise, which leads to negative impact
- ² on visual performance. Thus, it is necessary to remove stripe noise for the subsequent applications,
- *e.g.*, classification, recognition, *etc.* This paper commits to remove the stripe noise to enhance the
- visual quality of images, in the meanwhile preserves image details of stripe-free regions. Instead
- of solving the underlying image by various algorithms, we first estimate the stripe noise from the
- degraded images, then computing the final destriping image by the difference of the known stripe
- ⁷ image and the estimated stripe noise. In this paper, we propose a non-convex ℓ_0 sparse model for
- ⁸ remote sensing image destriping by taking full consideration of the intrinsically directional and
- structural priors of stripe noise, as well as the locally continuous property of underlying image.
- ¹⁰ Moreover, the proposed non-convex model is solved by a proximal alternating direction method of
- multipliers (PADMM) based algorithm and we also give the corresponding theoretical analysis of the
- ¹² proposed algorithm. Extensive experimental results on simulated and real data demonstrate that
- the proposed method outperforms recently state-of-the-art destriping methods, both visually and
- 14 quantitatively.

Keywords: Non-convex ℓ_0 sparse model; PADMM based algorithm; Mathematical program with

¹⁶ equilibrium constraints (MPEC); Stripe noise removal.

17 1. Introduction

Stripe noise (all denoted as "stripes" in this paper), which is generally caused by the inconsistence of the detecting element scanning or the influence of the detector moving and temperature changes, *etc.*, are an universal phenomenon in remote sensing images. They will result in a bad influence not only on visual quality but also on subsequent applications in remote sensing images. Therefore, it is necessary to remove stripes and simultaneously maintain the healthy pixels from the degraded images. In general, the stripes have strongly directional and structural information, *e.g.*, pixels normally damaged on row by row or column by column.

Recently, many approaches for destriping problems have been proposed, which may be roughly
 divided into three categories, mainly including filtering-based methods, statistics-based methods
 and optimization-based methods. Note that the proposed method belongs to the category of
 optimization-based methods.

- ²⁹ The filtering-based methods, which are easy to obtain the results with various filters, have been
- ³⁰ widely utilized for remote sensing image destriping, see [1–4]. In [1], Chen *et al.* propose an approach
- ³¹ for remote sensing image destriping tasks based on a finite-impulse response filter (FIR) in frequency
- domain, as well as exhibit the results on the experimental CMODIS data. However, the given method
 unavoidably leads to ringing and ripple artifacts. In [3], the wavelet analysis and adaptive fourier

this wavelet-based method shows promising ability for both stripes and random noise.

The statistics-based methods are mainly to analyze the distribution of stripes. These approaches 36 hold strong directional characters, to formulate excellent priors for the remote sensing image destriping, 37 e.g., [5–11]. In [7], Weinreb et al. introduce a method based on matching empirical distribution functions 38 (EDFs) for GOES-7 data, while the limitations and unstable property are caused by assuming the 39 similarity and regularity among the stripes. To conquer the instability when the stripes are irregular or 40 nonlinear, Rakwatin et al. [9] introduce a method, using both histogram-matching algorithm and local least squares fitting, to remove the stripes of Aqua MODIS band 6. In [10], spectral moment matching 42 (SpcMM) method, which can remove various frequencies stripes in a specific band automatically, is 43 proposed for Hyperion image destriping. In addition, Shen et al. [11] employ a piece-wise destriping 44 method, which uses correction coefficients of each portion by considering neighbouring normal row, 45 for nonlinear and irregular stripes, but it can not automatically select a threshold to divide the image 46 into different parts. 47 Recently, the optimization-based methods show superiorities for remote sensing image destriping 48 problems, e.g., [12–23]. The image destriping generally results in an ill-posed problem which fails 49 to obtain a meaningful, stable and unique solution. Therefore, a common strategy for ill-posed 50 problem is to construct a regularization model via investigating the underlying image priors. For 51 the optimization-based methods, they focus on searching and discovering the intrinsically prior 52 knowledge to generate reasonable regularization models. In [17], the authors present a unidirectional 53 total variational (UTV) model for MODIS image stripes removal by fully considering the directional 54 information of stripes. The UTV model is motivated by the classical TV model and the analysis of 55 directional stripes. Chang et al. [21] propose an optimization model combining the UTV with sparse 56 priors of stripes applying to denoising and destriping simultaneously. In [22], the authors utilize the 57 split Bregman iteration method with an anisotropic spectral-spatial total variation regularization to 58 remove multispectral image stripes. 59 In summary, although these optimization-based methods can yield excellent results of removing 60 stripes, there still exists much room to improve. Most of them are implemented only from the 61 perspective of noise removal, but without considering the typical properties of stripes, e.g., directional 62 and structural properties. Even though considering these properties, the formulated sparse destriping 63 models fail to accurately depict the typical properties of stripes, see [24], [25]. Moreover, the designed 64 algorithms for non-convex models, *e.g.*, ℓ_0 sparse model, can not obtain the most precise solution. 65 These motivate us to develop a more reasonable model and effectively design the corresponding 66 algorithm, which theoretically guarantees the convergence, to solve the remote sensing destriping 67 problems. 68 In this paper, to remove the stripes of remote sensing images, we propose a non-convex sparse 69 model which mainly consists of three sparse priors, including an ℓ_0 sparse prior by fully considering 70 the directional property of stripes (y-axis), an ℓ_1 sparse prior by considering the discontinuity of 71 underlying image (x-axis), and the sparsity of stripes by considering the structural property of stripes. 72

⁷³ Moreover, we design a PADMM based algorithm to solve the proposed non-convex sparse model. In

⁷⁴ particular, the convergence to the KKT point of the optimization problem is theoretically proven in

⁷⁵ the work. Results of several simulated and real images show that the proposed method is superior to

recently state-of-the-art destriping methods.

The contributions of this work are summarized as follows

⁷⁸ 1) Fully considering the latent priors of stripes, we formulate an ℓ_0 sparse model which depicts ⁷⁹ the intrinsically sparse character more accurately than ℓ_1 sparse model.

2) We solve the non-convex model by a designed PADMM based algorithm which we have given

the corresponding theoretical analysis of the proposed algorithm by this paper (see Appendix A).

3) The proposed method, which is less sensitive to related parameters, outperforms recently
 several state-of-the-art image destriping methods.

The outline of this paper is organized as follows. In Section 2, we will briefly introduce the related work. The proposed model and detailed solving algorithm will be shown in Section 3. In section 4, we compare the proposed method with some state-of-the-art remote sensing image destriping methods, and discuss the results with different stripes. Finally, conclusions are drawn in Section 5.

88 2. Related work

89 2.1. Destriping problem formulation

The striping effects in remote sensing images mainly make up of additive and multiplicative components [15]. However, the multiplicative stripes can be described as additive case by the logarithm [26]. Thus, many researches more focus on the additive stripes model

$$\mathbf{b}(x,y) = \mathbf{u}(x,y) + \mathbf{s}(x,y) \tag{1}$$

where $\mathbf{b}(x, y)$, $\mathbf{u}(x, y)$ and $\mathbf{s}(x, y)$ separately denote the components of the observe image, the underlying image and stripes at the location (x, y). For convenience, a matrix-vector form can be written as follows

$$\mathbf{b} = \mathbf{u} + \mathbf{s},\tag{2}$$

where **b**, **u** and $\mathbf{s} \in \mathbb{R}^n$ represent the lexicographical order vectors of $\mathbf{b}(x, y)$, $\mathbf{u}(x, y)$ and $\mathbf{s}(x, y)$,

⁹¹ respectively. The purpose of our work is to estimate the stripes **s**, then the underlying image will be

⁹² recovered by the formula of $\mathbf{u} = \mathbf{b} - \mathbf{s}$.

93 2.2. UTV for remote sensing image destriping

The total variation (TV) model, which is first proposed by Rudin, Oshaer and Fatemi (ROF) [27], has shown powerful ability in many image applications, *e.g.*, image unmixing [28], image deblurring [29], image inpainting [30], *etc.* It has the following form

$$E(\mathbf{u}) = \frac{1}{2} \int_{\Omega} ||\mathbf{u} - \mathbf{b}||^2 + \lambda T V(\mathbf{u}),$$
(3)

where λ is a positive regularization parameter, and $TV(\mathbf{u})$ represents the regularization expressed as

$$TV(u) = \int_{\Omega} |\nabla \mathbf{u}| = \int_{\Omega} \sqrt{\left(\frac{\partial \mathbf{u}}{\partial x}\right)^2 + \left(\frac{\partial \mathbf{u}}{\partial y}\right)^2} \, dx dy. \tag{4}$$

In many approaches, s(x, y) is usually regarded as constant in a given line. Although this assumption has shown stability in MOS-B, it fails in MODIS. Not only predominant nonlinear effects, but also the data quality of random stripes have been obtained in many emissive bands. Thus, more

⁹⁷ realistic assumptions are introduced to design an efficient destriping method.

Without loss the generality, we can assume that the stripes are along the vertical direction (y-axis). Fully considering the directional property of stripes, the authors in [17] consider the following relation

$$\left|\frac{\partial \mathbf{s}(x,y)}{\partial y}\right| \ll \left|\frac{\partial \mathbf{s}(x,y)}{\partial x}\right|,\tag{5}$$

where we denote y-axis is along stripes direction, and x-axis is across stripes direction. By the relation in Eq. (5), we have

$$\int_{\Omega} \left| \frac{\partial \mathbf{s}(x, y)}{\partial y} \right| \, dx dy \ll \int_{\Omega} \left| \frac{\partial \mathbf{s}(x, y)}{\partial x} \right| \, dx dy, \tag{6}$$

which means

$$TV_y(\mathbf{s}) \ll TV_x(\mathbf{s}) \tag{7}$$

where TV_x and TV_y are horizontal and vertical variations, respectively. The authors in [17] encourage the robustness of stripes removal by minimizing the unidirectional total variation (UTV) model as follows

$$E(\mathbf{u}) = TV_{y}(\mathbf{u} - \mathbf{b}) + \lambda TV_{x}(\mathbf{u}),$$
(8)

⁹⁸ which can be solved by Euler-Lagrange equation based algorithm.

In [17], the UTV model can effectively deal with remote sensing image destriping problems, 99 which has been demonstrated holding promising ability on Aqua and Terra MODIS data. Although 1 00 TV model preserves image edges well, it can not accurately depict the specifically directional property 101 of stripes, and leads to undesired results. The UTV model that involves unidirectional constraint can 1 0 2 remove stripes excellently in the meanwhile not destroy the underlying image details. Inspired by 1 0 3 the UTV model, we fully consider the intrinsically directional and structural priors of stripes and the 1 04 continuous property of the underlying image. Finally, we form a unidirectional and sparse based 1 0 5 optimization model. 106

107 3. The proposed method

Combining the stripes model (2), we will give the proposed optimal model with unidirectional prior motivated by the extension of the UTV model. In what follows, the detailed explanations of the proposed model and the corresponding solving algorithm will be exhibited.

111 3.1. The proposed model

112 3.1.1. Local smoothness along stripe direction

The stripes of remote sensing images are generally appeared with column-by-column (y-axis) or row-by-row (x-axis), without loss of generality, we view all stripes as column-by-column case to formulate the finally directional model¹. Considering the smoothness within the stripes, the difference between adjacent pixels is quite small, or even close to zero, thus we generally use sparse prior for this character along the stripe direction (y-axis). The first regularization for the difference within the stripes is given as follows

$$\mathbf{R}_1 = ||\nabla_{\boldsymbol{y}} \mathbf{s}||_0,\tag{9}$$

where ∇_y is a partial difference operator along stripe direction². Comparing with some popular sparse measures, *e.g.*, ℓ_1 -norm and ℓ_p -norm ($0), the <math>\ell_0$ -norm that stands for the number of non-zero elements of a vector is the most accurate measure to depict sparse property, thus here we employ ℓ_0 -norm to describe the sparsity of $\nabla_y s$. Although this term will lead to the non-convexity of the proposed model, we utilize the designed PADMM based algorithm to guarantee the solution converging to the KKT point.

119 3.1.2. Local continuity of the underlying image

In general, the underlying image **u** along x-axis is viewed as being continuous. When adding column-by-column stripes **s** to the underlying image, the local continuity of **u** is broken, which means that we should force $\nabla_x \mathbf{u}$ being small to keep the continuity of **u**. By this assumption and the relation $\mathbf{u} = \mathbf{b} - \mathbf{s}$, we utilize the following ℓ_1 -norm regularization to describe the local continuity of the underlying image

$$\mathbf{R}_2 = ||\nabla_x (\mathbf{b} - \mathbf{s})||_1,\tag{10}$$

¹ The row-by-row stripes can be easily rotated to column-by-column stripes to fit in the proposed model.

² $\nabla_y u$ represents the vector form of $\nabla_y U$ where *U* is a 2D image. The similar meaning is $\nabla_x u$.

where ∇_x represents the difference operator in the across-stripe direction. Note that this term is actually the second term of the UTV model (8).

122 3.1.3. Global sparsity of stripes

In many destriping approaches, *e.g.*, [24,25,31,32], the stripes can be naturally viewed as being sparse when the stripes are not heavy. Inspired by their excellent works, here we take the ℓ_1 -norm to depict the sparsity of stripes, see as follows

$$R_3 = ||\mathbf{s}||_1.$$
(11)

Even though the stripes are heavy, this sparse term (11) is still necessary to retain, since it can effectively avoid the undesired effect and keep the robustness of the proposed method (see more discussion from the results section).

Combining the above three regularization terms, we finally formulate the ℓ_0 sparse model for remote sensing image destriping,

$$\min ||\nabla_{\boldsymbol{y}} \mathbf{s}||_{0} + \mu ||\mathbf{s}||_{1} + \lambda ||\nabla_{\boldsymbol{x}} (\mathbf{b} - \mathbf{s})||_{1},$$
(12)

where μ and λ are two positive parameters.



Figure 1. The number of nonzero of **s** (a) and $\nabla_y \mathbf{s}$ (b), where **s** is estimated from a real image example (see Fig. 4) by the method [24]. It is clear that $\nabla_y \mathbf{s}$ is more sparse than s.

Note that, the proposed model (12) is similar as the model in [24], since they both employ the 127 directional property of stripes. However, there still exists an important difference that the model in [24] 128 enforces ℓ_1 norm to $\nabla_{\nu} \mathbf{s}$ and ℓ_0 norm to \mathbf{s} whereas our model enforces ℓ_1 norm to \mathbf{s} and ℓ_0 norm to $\nabla_{\nu} \mathbf{s}$. 129 It can be seen that our model is more reasonable than the model in [24], because $\nabla_y \mathbf{s}$ is significantly 1 30 more sparse than s. For instance, Fig. 1 shows the number of non-zeros of s (Fig. 1(a)) and $\nabla_{\nu} s$ (Fig. 1 31 1(b)), where s is estimated from a real image example by the method [24], it is clear that ∇_{y} s is almost 1 32 all around 0, whereas s is not. The ℓ_0 norm is the best way to depict sparsity, thus our model which 1 3 3 enforces ℓ_0 norm to $\nabla_{\nu} \mathbf{s}$. 1 34

In what follows, we will exhibit how to solve the proposed non-convex sparse model by introducing the PADMM based algorithm, as well as give the theoretical analysis of the convergence.

137 3.2. The solution

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Before solving the proposed model (12), we first present an excellent work, *i.e.*, Mathematical program with equilibrium constraints (MPEC) [31], to transfer the non-convex ℓ_0 regularization term to the other equivalent one.

Equivalent MPEC reformulation: For the non-convex ℓ_0 regularization term, there exist many approaches to approximate it, e.g., ℓ_1 -norm [33], the logarithm function [34] or the penalty

decomposition algorithm (PDA) [35]. In this work, we are inspired by a recently elegant work, *i.e.*, MPEC, to transfer the ℓ_0 regularization term to an equivalent problem, so that we can design a PADMM based algorithm to efficiently solve the equivalent model, in the meanwhile theoretically guarantee the convergence. **Lemma:** [MPEC equation [31]] For any given $\mathbf{w} \in \mathbb{R}^n$, it holds that

$$||\mathbf{w}||_{0} = \min_{\mathbf{0} \le \mathbf{v} \le \mathbf{1}} \langle \mathbf{1}, \mathbf{1} - \mathbf{v} \rangle, \ s.t. \ \mathbf{v} \odot |\mathbf{w}| = 0, \tag{13}$$

and $\mathbf{v}^* = \mathbf{1} - \text{sign}(|\mathbf{w}|)$ is the unique optimal solution of the minimization problem (13). **Proof:** See details in [31].

From Lemma 3.2, the ℓ_0 -norm sparse optimization model in Eq. (12) is equivalent to

$$\min_{\substack{\mathbf{0}\leq\mathbf{v}\leq\mathbf{1},\mathbf{s}\\s.t.\ \mathbf{v}\odot|\nabla_{y}\mathbf{s}|=0,}} \langle \mathbf{1},\mathbf{1}-\mathbf{v}\rangle + \mu||\mathbf{s}||_{1} + \lambda||\nabla_{x}(\mathbf{b}-\mathbf{s})||_{1},$$
(14)

where \odot denotes the elementwise product. According to the analysis of [31], if **s**^{*} is the globally optimal solution of Eq. (12), then (**s**^{*}, **1** – sign($|\nabla_y \mathbf{s}^*|$)) is the unique global minimizer of Eq. (14).

Note that the Eq. (14) is still a non-convex problem, and the non-convexity is only caused by the constraint $\mathbf{v} \odot |\nabla_y \mathbf{s}| = 0$. However, this problem (14) is similar to the main problem in [31], which is efficiently solved by a PADMM³ based algorithm that theoretically guarantees the convergence. Therefore, we employ the designed PADMM based algorithm to solve the resulted problem (14), as well as give the theoretical analysis of the convergence.

In the following, we will use the PADMM based algorithm to solve the optimization problem (14).

152 3.3. PADMM based Algorithm

Considering the non-smooth ℓ_1 terms in problem (14), we take the following variable substitutions to get the new optimization problem,

$$\min_{\mathbf{0} \le \mathbf{v} \le \mathbf{1}, \mathbf{s}} \langle \mathbf{1}, \mathbf{1} - \mathbf{v} \rangle + \mu ||\mathbf{z}||_1 + \lambda ||\mathbf{w}||_1,$$
s.t $\mathbf{v} \odot |\mathbf{h}| = 0, \nabla_y \mathbf{s} = \mathbf{h}, \mathbf{s} = \mathbf{z}, \nabla_x (\mathbf{b} - \mathbf{s}) = \mathbf{w},$
(15)

with the auxiliary variables $\mathbf{h}, \mathbf{z}, \mathbf{w} \in \mathbb{R}^n$. The augmented Lagrangian function \mathcal{L} of Eq. (15) is as follows

$$\mathcal{L}(\mathbf{h}, \mathbf{z}, \mathbf{w}, \mathbf{v}, \mathbf{s}, \pi_{1}, \pi_{2}, \pi_{3}, \pi_{4}, \beta_{1}, \beta_{2}, \beta_{3}, \beta_{4}) = \langle \mathbf{1}, \mathbf{1} - \mathbf{v} \rangle + \mu ||\mathbf{z}||_{1} + \lambda ||\mathbf{w}||_{1} + \langle \nabla_{y}\mathbf{s} - \mathbf{h}, \pi_{1} \rangle + \frac{\beta_{1}}{2} ||\nabla_{y}\mathbf{s} - \mathbf{h}||_{2}^{2} + \langle \mathbf{s} - \mathbf{z}, \pi_{2} \rangle + \frac{\beta_{2}}{2} ||\mathbf{s} - \mathbf{z}||_{2}^{2} + \langle \nabla_{x}(\mathbf{b} - \mathbf{s}) - \mathbf{w}, \pi_{3} \rangle + \frac{\beta_{3}}{2} ||\nabla_{x}(\mathbf{b} - \mathbf{s}) - \mathbf{w}||_{2}^{2} + \langle \mathbf{v} \odot |\mathbf{h}|, \pi_{4} \rangle + \frac{\beta_{4}}{2} ||\mathbf{v} \odot |\mathbf{h}|||_{2}^{2},$$
(16)

where π_1 , π_2 , π_3 and π_4 are Lagrange multipliers, and β_1 , β_2 , β_3 and β_4 are positive parameters. The minimization problem (16) can be solved by the PADMM based algorithm. Next, we discuss the solution of each subproblem.

³ Actually, PADMM method is an extended version of ADMM method, which has been applicated to many image applications, *e,g.*, image deblurring [36], image denoising [37], tensor completion [38], *etc.*

1) The h-subproblem can be written to the minimized problem as follows

$$\min_{\mathbf{h}} \langle \nabla_{y} \mathbf{s}^{k} - \mathbf{h}, \pi_{1}^{k} \rangle + \frac{\beta_{1}}{2} || \nabla_{y} \mathbf{s}^{k} - \mathbf{h} ||_{2}^{2} \\
+ \langle \mathbf{v}^{k} \odot |\mathbf{h}|, \pi_{4}^{k} \rangle + \frac{\beta_{4}}{2} || \mathbf{v}^{k} \odot |\mathbf{h} || |_{2}^{2}.$$
(17)

Now, let h_i is the i-th pixel of **h** and we discuss two situations when the element $h_i \neq 0$, if $h_i > 0$,

$$\mathbf{h}_{i} = \frac{(\beta_{1}(\nabla_{y}\mathbf{s})_{i} + (\pi_{1}^{k})_{i}) - (\pi_{4}^{k})_{i} \odot (\mathbf{v}^{k})_{i}}{\beta_{1} + \beta_{4}(\mathbf{v}^{k})_{i} \odot (\mathbf{v}^{k})_{i}},$$
(18)

if $h_i < 0$,

$$\mathbf{h}_{i} = (-1) \frac{-(\beta_{1}(\nabla_{y} \mathbf{s}^{k})_{i} + (\pi_{1}^{k})_{i}) - (\pi_{4}^{k})_{i} \odot (\mathbf{v}^{k})_{i}}{\beta_{1} + \beta_{4}(\mathbf{v}^{k})_{i} \odot (\mathbf{v}^{k})_{i}}.$$
(19)

In summary, the h-subproblem has the closed-form solution as follows

$$\mathbf{h}^{k+1} = \operatorname{sign}(\mathbf{q}^k) * \frac{|\mathbf{q}^k| - \pi_4^k \odot \mathbf{v}^k}{\beta_1 + \beta_4 \mathbf{v}^k \odot \mathbf{v}^k},\tag{20}$$

where $\mathbf{q}^k = \beta_1 \nabla_y \mathbf{s}^k + \pi_1^k$.

2) The z-subproblem is given as follows

$$\min_{\mathbf{z}} \ \mu ||\mathbf{z}||_1 + \langle \mathbf{s}^k - \mathbf{z}, \pi_2^k \rangle + \frac{\beta_2}{2} ||\mathbf{s}^k - \mathbf{z}||_2^2, \tag{21}$$

which has the closed-form solution by soft-thresholding strategy [39]

$$\mathbf{z}^{k+1} = \mathbf{Shrink}(\mathbf{s}^k + \frac{\pi_2^k}{\beta_2}, \frac{\mu}{\beta_2}), \tag{22}$$

where **Shrink**(\mathbf{a} , T) = sign(\mathbf{a}) * max($|\mathbf{a} - T|$, 0). 157

3) Similar to z-subproblem, w-subproblem is written as follows

$$\min_{\mathbf{w}} \lambda \|\mathbf{w}\|_1 + \frac{\beta_3}{2} ||\nabla_x(\mathbf{b} - \mathbf{s}^k) - \mathbf{w} + \frac{\pi_3^k}{\beta_3}||_2^2.$$
(23)

The problem (23) has the following closed-form solution by the soft-shrinkage formulation,

$$\mathbf{w}^{k+1} = \mathbf{Shrink}(\mathbf{q}^k, \frac{\lambda}{\beta_3}), \tag{24}$$

where $\mathbf{q}^k = \nabla_x (\mathbf{b} - \mathbf{s}^k) + \frac{\pi_3^k}{\beta_3}$. 4) The **v**-subproblem can be written as follows

$$\min_{\mathbf{0}\leq\mathbf{v}\leq\mathbf{1}} \langle \mathbf{v}, \mathbf{c}^k \rangle + \frac{\beta_4}{2} ||\mathbf{v}\odot|\mathbf{h}^{k+1}|||_2^2,$$
(25)

where $\mathbf{c}^k = \mathbf{1} - \pi_4^k \odot |\mathbf{h}^{k+1}|$. Combining with the constraint $\mathbf{0} \leq \mathbf{v} \leq \mathbf{1}$, it has the closed-form solution,

$$\mathbf{v}^{k+1} = \min(\mathbf{1}, \max(\mathbf{0}, \frac{-\mathbf{c}^k}{\beta_4 |\mathbf{h}^{k+1}| \odot |\mathbf{h}^{k+1}|})).$$
(26)

Algorithm 1: The algorithm for model (12)

Input: The observed image **b** (with stripes), the parameters λ , μ , β_i , i = 1, 2, 3, 4, the constant $\kappa \in (0, \frac{1}{\beta_1 ||\nabla_u^T||^2 + \beta_2 + \beta_3 ||\nabla_x^T||^2})$, the maximum number of iterations M_{iter} , and the calculation accuracy tol. Output: The stripes s Initialize: 1) $k \leftarrow 0$, $\mathbf{v}^0 \leftarrow \mathbf{1}$, $\mathbf{s}^0 \leftarrow \mathbf{b}$, *rho* $\leftarrow 1$ *While rho> tol and* $k < M_{iter}$ 2) $k \leftarrow k + 1$ 3) Solve \mathbf{h}^k by Eq. (20) 4) Solve \mathbf{z}^k by Eq. (22) 5) Solve \mathbf{w}^k by Eq. (24) 6) Solve \mathbf{v}^k by Eq. (26) 7) Solve \mathbf{s}^k by Eq. (28) 8) Update the multipliers π_i , i = 1, 2, 3, 4, by Eq. (29) 9) Calculate the error $\hat{r}ho = ||\nabla_y \mathbf{s}^{k+1} - \mathbf{h}^{k+1}||_2 + ||\mathbf{s}^{k+1} - \mathbf{z}^{k+1}||_2 + ||\nabla_x (\mathbf{b} - \mathbf{s}^{k+1}) - \mathbf{w}^{k+1}||_2 + ||\mathbf{v}^{k+1} \odot |\mathbf{h}^{k+1}|||_2.$ Endwhile

5) Here, PADMM based algorithm needs to introduce an extra convex proximal term $\frac{1}{2}||\mathbf{s} - \mathbf{s}^k||_{\mathbf{D}}^2$, which is defined as $||\mathbf{x}||_{\mathbf{D}}^2 = \mathbf{x}^T \mathbf{D} \mathbf{x}$, and *D* is a symmetric positive definite matrix. The **s**-subproblem becomes a strong convex optimization problem as

$$\min_{\mathbf{s}} \langle \nabla_{y} \mathbf{s} - \mathbf{h}^{k+1}, \boldsymbol{\pi}_{1}^{k} \rangle + \frac{\beta_{1}}{2} || \nabla_{y} \mathbf{s} - \mathbf{h}^{k+1} ||_{2}^{2}
+ \langle \mathbf{s} - \mathbf{z}^{k+1}, \boldsymbol{\pi}_{2}^{k} \rangle + \frac{\beta_{2}}{2} || \mathbf{s} - \mathbf{z}^{k+1} ||_{2}^{2}
+ \langle \nabla_{x} (\mathbf{b} - \mathbf{s}) - \mathbf{w}^{k+1}, \boldsymbol{\pi}_{3}^{k} \rangle
+ \frac{\beta_{3}}{2} || \nabla_{x} (\mathbf{b} - \mathbf{s}) - \mathbf{w}^{k+1} ||_{2}^{2} + \frac{1}{2} || \mathbf{s} - \mathbf{s}^{k} ||_{\mathbf{D}}^{2},$$
(27)

where

$$\mathbf{D} = \frac{1}{\kappa} \mathbf{I} - (\beta_1 \nabla_y^T \nabla_y + \beta_2 + \beta_3 \nabla_x^T \nabla_x),$$

$$\kappa \in \left(0, \frac{1}{\beta_1 ||\nabla_y||_2^2 + \beta_2 + \beta_3 ||\nabla_x||_2^2}\right).$$

Then, Eq. (27) will be equivalent to:

$$\mathbf{s}^{k+1} = \arg\min_{\mathbf{s}} \; \frac{1}{2} ||\mathbf{s} - \mathbf{g}^k||_{2'}^2 \tag{28}$$

where $\mathbf{g}^k = \mathbf{s}^k - \kappa [\beta_1(\nabla_y \mathbf{s}^k - \mathbf{h}^{k+1}) + \beta_2(\mathbf{s}^k - \mathbf{z}^{k+1}) - \beta_3 \nabla_x^T (\nabla_x \mathbf{b} - \nabla_x \mathbf{s}^k - \mathbf{w}^{k+1})].$ 6) Finally, we update the Lagrangian multipliers by

$$\pi_{1}^{k+1} = \pi_{1}^{k} + \beta_{1}(\nabla_{y}\mathbf{s}^{k+1} - \mathbf{h}^{k+1}),$$

$$\pi_{2}^{k+1} = \pi_{2}^{k} + \beta_{2}(\mathbf{s}^{k+1} - \mathbf{z}^{k+1}),$$

$$\pi_{3}^{k+1} = \pi_{3}^{k} + \beta_{3}(\nabla_{x}(\mathbf{b} - \mathbf{s}^{k+1}) - \mathbf{w}^{k+1}),$$

$$\pi_{4}^{k+1} = \pi_{4}^{k} + \beta_{4}(\mathbf{v}^{k+1} \odot |\mathbf{h}^{k+1}|).$$
(29)

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Combining steps 1) to 6), we formulate the final algorithm to iteratively solve the proposed ℓ_0 sparse model (12). In particular, the subproblems all have the closed-form solutions to ensure the accuracy of the algorithm. Finally, the solving process has been summarized in Algorithm 1.

In Algorithm 1, λ , μ , β_1 , β_2 , β_3 , β_4 are some pre-defined parameters, *tol* and M_{iter} represent the positive tolerance value and the maximum iterations, respectively. In this work, we set *tol* = 1/255 and $M_{iter} = 10^3$. In the following, we discuss the convergence of the Algorithm 1.

167 4. Experiment results

In this section, we compare the proposed method with several state-of-the-art destriping methods, 168 including the wavelet Fourier adaptive filter (WFAF) [3], the statistical linear destriping (SLD) [26], 169 the unidirectional total variation model (UTV) [17], the global sparsity and local variational (GSLV) 170 [24], and the Low-Rank Single-Image Decomposition (LRSID) [25], on both simulated and real remote sensing data. The codes of these methods, except the GSLV method, are available⁴. As suggested in 172 [25], we utilize the same periodic/nonperiodic stripes function adding stripes intensity [0, 255] to the 173 underlying images. By the similar measure as in [25], the degraded images were normalized between 1 74 [0, 1]. All experiments are conducted in MATLAB (R2016a) on a desktop with 16Gb RAM and Inter(R) 175 Core(TM) CPU i5-4590: @3.30GHz. 176

To evaluate the effects of different destriping methods, we will compare several qualitative and quantitative assessments. On the qualitative aspect, we show the visual results, the mean cross-track profile and the power spectrum of different methods. We also employ some acknowledged indexes, *i.e.*, peak signal-to-noise ratio (PSNR)[40], structural similarity index (SSIM) [40] and the relative error (ReErr), to evaluate the performance of different approaches. The ReErr formula is as follows,

$$\text{ReErr} = \frac{||\mathbf{s}_{added} - \mathbf{s}_{restored}||_2}{||\mathbf{s}_{added}||_2}$$

where the \mathbf{s}_{added} and $\mathbf{s}_{restored}$ represent the added stripes and restored stripes by different methods, respectively. Then, we will discuss how to select parameters. We note that we test the comparing methods according to the default or suggested parameters in their papers and codes.

In simulated experiments, the stripes with periodic (Per) and nonperiodic (NonPer) noise are mainly determined by "Intensity" and *r*. Here, the "Intensity" means the added absolution value of the stripe scope, and the *r* represents the stripes ratio level within the remote sensing images. For convenience to compare, different stripes added to remote sensing images will be denoted as a vector with three elements, *e.g.*, (Per, 10, 0.2) which represents the periodic stripes, the "Intensity" 10 and stripes ratio 0.2.

We take six experimental images, which the first, second, third and sixth examples are available on the website⁵, and the forth and fifth examples are available on the website⁶, to test the performance of different methods. To compare these methods clearly, we zoom in destriping details on the bottom left or bottom right of the image.

Periodic Stripes. For the periodic stripes case, we only take one example, *i.e.*, the first column of Fig. 2 with added stripes (Per, 10, 0.2), to compare the performance. Almost of all existing methods performs quite excellent due to the simple structures of periodic stripes. The first column of Fig. 2 also demonstrates the consistent conclusion that all comparing approaches remove the periodic stripes and well preserve the image details of stripe-free regions.

^{180 4.1.} Simulated experiments

⁴ http://www.escience.cn/people/changyi/codes.html.

⁵ DigitalGlobe with http://www.digitalglobe.com/product-samples.

⁶ MODIS data with https://ladsweb.nascom.nasa.gov/

images	(a)	(b)	(c)	(d)	(e)	(f)
WFAF	0.1588	0.2828	0.2519	0.2468	0.2386	0.2574
SLD	0.0874	0.1670	0.1723	0.1664	0.1330	0.1346
UTV	0.0831	0.1542	0.2371	0.1818	0.1314	0.1375
GSLV	0.0867	0.1030	0.2385	0.1926	0.0912	0.1654
LRSID	0.0917	0.1884	0.2731	0.2125	0.1450	0.1897
Ours	0.0193	0.0693	0.0365	0.0892	0.0304	0.0813

Table 1. The ReErr results between \mathbf{s}_{added} and $\mathbf{s}_{restored}$ for different methods

2) Nonperiodic Stripes. For the non periodic stripes case, we test five remote sensing images from 196 the second column to the end column in Fig. 2 with added stripes (NonPer, 100, 0.6), (NonPer, 50, 0.2), 197 (NonPer, 60, 0.4), (NonPer, 100, 0.4) and (NonPer, 50, 0.6), respectively. Then, we display the destriping 198 results of WFAF, SLD, UTV, GSLV, LRSID and the proposed method for different simulated remote 199 sensing images starting from third row to the end row. See the visual results of the second column, the 200 WFAF method has a obvious black line and changes the intensity contrast of the underlying image 201 significantly. Although the other comparing methods can remove stripes, some regions change the 2 0 2 intensity contrast of the underlying image on the left and the right parts, and the proposed method 203 shows a good performance. Then, from the third to sixth examples, we can clearly observe the residual 204 stripes and blurring effects resulted by the others comparing methods. Moreover, our method not only 205 removes stripes completely but also preserves image details well. From Fig. 3, we display the smaller 206 patches of Fig. 2 for visual quality comparisons, and ours results have a better performance than the 207 others. 208

Fig. 4 shows the estimated stripes based on Fig. 2. From Fig. 4, we know that the other comparing methods may generate blurring effect and change intensity contrast. Meanwhile, the estimated stripes of the proposed method neither eliminate image structures nor bring in blurring effects for both periodic and nonperiodic stripes cases.

In Fig. 5, we show the difference/residuals between the added stripes and restored ones. Although ours results have some residuals, the proposed method shows a better performance than the others compared methods. Moreover, we utilize the ReErr results to show the differences/residuals of Fig. 5 in quantitative aspect. The ReErr results have shown in Table 1. From Table 1, our results outperform than the other compared methods.



Figure 2. The visual results of different simulated images. From top to bottom: underlying images, degraded images, the destriping results of WFAF, SLD, UTV, GSLV, LRSID and Ours. The degraded images in the second row are respectively added the stripes (from left to right): (Per, 10, 0.2), (NonPer, 100, 0.4), (NonPer, 50, 0.2), (NonPer, 60, 0.4), (NonPer, 100, 0.4) and (NonPer, 50, 0.6). Readers are recommended to zoom in all figures for better visibility.



Figure 3. The zoom results of different simulated images in Fig. 2. From top to bottom: zoom of the underlying images, the degrsded images, the destriping results of WFAF, SLD, UTV, GSLV, LRSID and Ours. Note that the levels of stripes are same as Fig. 2.



Figure 4. The stripes **s** of different simulated images in Fig. 2. From top to bottom: the added stripes on the underlying image, the extracted stripe components of WFAF, SLD, UTV, GSLV, LRSID and Ours. Note that the levels of stripes are same as Fig. 2.



Figure 5. The difference of the added stripes and restored ones. From top to bottom: the difference results of WFAF, SLD, UTV, GSLV, LRSID and Ours. Note that the levels of stripes are same as Fig. 2.

218 2) Averagely quantitative performance on 32 test images. To quantitatively test robustness 219 and effectiveness of the proposed method, Table 2 and Table 3 report the averagely quantitative 220 comparisons on 32 remote sensing images, which are randomly selected from three websites⁷. In the 221 tables, the best PSNR and SSIM results have been identified in bold. Especially, we compare these 222 methods on 32 remote sensing images with fixed parameters for each method.

Table 2 shows the PSNR and SSIM results on periodic stripes with different stripe levels. Although variance of PSNR is not the smallest, the SSIM of the proposed method holds the best performance, and SSIM is an important index to indicate stability on structural similarity of one method. Moreover, our method has the best mean value results of PSNR and SSIM which show the significant advantages than the other comparing methods.

⁷ 1) "DigitalGlobe" with http://www.digitalglobe.com/product-samples. 2) some subimages of "hyperspectral image of Washington DC Mall" with https://engineering.purdue.edu/~biehl/MultiSpec/. 3) "MODIS" data with https://ladsweb. nascom.nasa.gov/

	Intensity	Intensity=10		Intensity=50		Intensity=100	
	Ratio	r=0.2	r=0.6	r=0.2	r=0.6	r=0.2	r=0.6
	WFAF	41.400 ± 3.601	41.702 ± 3.870	37.160 ± 1.975	37.553 ± 1.975	32.196 ± 1.457	32.501 ± 1.732
	SLD	42.037 ± 2.927	$41.048 {\pm} 2.909$	$41.710 {\pm} 2.930$	$41.957 {\pm} 2.928$	$40.614{\pm}2.549$	$41.644{\pm}2.836$
PSNR	UTV	42.030 ± 3.229	41.032 ± 2.886	40.920 ± 2.773	43.086 ± 2.298	41.470 ± 3.385	41.058 ± 3.299
	GSLV	42.552 ± 2.955	$42.630 {\pm} 2.886$	42.202 ± 3.058	$43.533 {\pm} 2.856$	43.431 ± 3.091	43.801 ± 2.705
	LRSID	$43.948 {\pm} 2.104$	$42.775 {\pm} 2.010$	$42.308 {\pm} 2.169$	$44.548 {\pm} 1.976$	43.779 ± 2.500	$44.035{\pm}2.014$
	Ours	$52.918{\pm}4.074$	49.497±3.956	$52.853 {\pm} 4.910$	49.212±4.390	$52.854{\pm}4.902$	$49.182{\pm}4.368$
	WFAF	$0.9934{\pm}0.0058$	$0.9936 {\pm} 0.0062$	$0.9887 {\pm} 0.0084$	$0.9905 {\pm} 0.0078$	$0.9818 {\pm} 0.0103$	$0.9847 {\pm} 0.0085$
	SLD	$0.9966 {\pm} 0.0029$	$0.9966 {\pm} 0.0029$	$0.9965 {\pm} 0.0031$	$0.9965 {\pm} 0.0032$	$0.9962 {\pm} 0.0033$	$0.9964{\pm}0.0037$
SSIM	UTV	$0.9959 {\pm} 0.0027$	$0.9959 {\pm} 0.0027$	$0.9911 {\pm} 0.0025$	$0.9928 {\pm} 0.0023$	$0.9954{\pm}0.0024$	$0.9937 {\pm} 0.0076$
	GSLV	$0.9991 {\pm} 0.0077$	$0.9968 {\pm} 0.0076$	$0.9916 {\pm} 0.0079$	$0.9903 {\pm} 0.0082$	$0.9966 {\pm} 0.0085$	$0.9969 {\pm} 0.0053$
	LRSID	$0.9990 {\pm} 0.0107$	$0.9945 {\pm} 0.0056$	$0.9932{\pm}0.0044$	$0.9947 {\pm} 0.0032$	$0.9936 {\pm} 0.0047$	$0.9957 {\pm} 0.0031$
	Ours	$0.9994{\pm}0.0007$	$0.9987{\pm}0.0011$	$0.9994{\pm}0.0013$	$0.9986{\pm}0.0016$	$0.9994{\pm}0.0062$	$0.9986{\pm}0.0019$

Table 2. The mean value of PSNR and SSIM of 32 images with periodic noise

Table 3. The mean value of PSNR and SSIM of 32 images with nonperiodic noise

	*	•		•			100
	Intensity	Intens	ity=10	Intensity=50		Intensity=100	
	Ratio	r=0.2	r=0.6	r=0.2	r=0.6	r=0.2	r=0.6
	WFAF	40.971 ± 2.523	39.372 ± 2.249	$30.536{\pm}1.508$	37.609 ± 2.263	24.849 ± 1.573	$22.594{\pm}1.541$
	SLD	$41.476 {\pm} 2.592$	$40.935 {\pm} 2.201$	$35.964{\pm}1.510$	42.007 ± 3.020	$30.963 {\pm} 1.414$	28.403 ± 1.729
PSNR	UTV	$41.153 {\pm} 2.880$	$38.615 {\pm} 2.041$	$35.648 {\pm} 1.527$	42.505 ± 3.010	$31.055 {\pm} 4.687$	$31.599 {\pm} 2.578$
	GSLV	42.282 ± 2.359	$39.018 {\pm} 1.654$	41.985 ± 1.239	$39.838 {\pm} 2.903$	$36.184{\pm}1.399$	$35.408 {\pm} 2.472$
	LRSID	42.672 ± 1.418	$39.034{\pm}1.302$	$42.814{\pm}1.349$	$40.497 {\pm} 2.024$	37.779 ± 1.212	33.559 ± 1.132
	Ours	$48.801{\pm}3.985$	$44.700{\pm}3.784$	$49.057 {\pm} 4.791$	$49.057 {\pm} 4.492$	$44.365{\pm}5.106$	$39.452 {\pm} 4.494$
	WFAF	$0.9925 {\pm} 0.0056$	0.9903 ± 0.0069	$0.9744{\pm}0.0104$	$0.9905 {\pm} 0.0081$	$0.9364 {\pm} 0.0207$	0.9029 ± 0.0565
	SLD	$0.9965 {\pm} 0.0031$	$0.9952{\pm}0.0031$	$0.9950 {\pm} 0.0041$	$0.9964 {\pm} 0.0032$	$0.9907 {\pm} 0.0060$	$0.9823 {\pm} 0.0142$
SSIM	UTV	$0.9958 {\pm} 0.0029$	$0.9934{\pm}0.0052$	$0.9937 {\pm} 0.0042$	$0.9914{\pm}0.0056$	$0.9886{\pm}0.0193$	$0.9851{\pm}0.0122$
	GSLV	$0.9982{\pm}0.0016$	$0.9917{\pm}0.0042$	$0.9962 {\pm} 0.0101$	$0.9967 {\pm} 0.0088$	$0.9956 {\pm} 0.0091$	$0.9933 {\pm} 0.0152$
	LRSID	$0.9983 {\pm} 0.0032$	$0.9934{\pm}0.0113$	$0.9891 {\pm} 0.0070$	$0.9962 {\pm} 0.0042$	$0.9975 {\pm} 0.0091$	$0.9924{\pm}0.0402$
	Ours	$0.9991{\pm}0.0006$	$0.9956{\pm}0.0035$	$0.9990 {\pm} 0.0010$	$0.9986{\pm}0.0016$	$0.9979 {\pm} 0.0012$	$0.9942{\pm}0.0042$

For the nonperiodic stripes, we show the mean value results in Table 3. The WFAF method shows the instability, and the PSNR and SSIM of LRSID method are consistent with the results in [25]. From the two tables, our method always shows a good performance significantly.

In Fig. 6, we take two examples of Table 2 to show the PSNR and SSIM performance of all 2 31 comparing methods on each image. The y-axis stands for the value of PSNR or SSIM and the x-axis 232 represents the *i*-th image of 32 examples. Fig. 6 (I) and Fig. 6 (II) are the PSNR and SSIM performance 233 of stripes (Per, 100, 0.6), and Fig. 6 (III) and Fig. 6 (IV) are that of stripes (NonPer, 50, 0.2), respectively. 2 34 Although the PSNR results fluctuate with respect to different images, our method holds the best 235 PSNR results on almost of all images. Moreover, the SSIM results show the best performance with the 236 smallest variance, which is consistent with the results of Table 2 and Table 3. From Fig. 6, our method 237 is superior to the other comparing methods. 238



Figure 6. The PSNR and SSIM performance on 32 images for the stripes (Per, 100, 0.6) and (NonPer, 50, 0.2). The x-axis represents each image and the quantitative results are shown in y-axis. (I) and (II) are the PSNR and SSIM results for the stripes (Per, 100, 0.6), respectively. (III) and (IV) respectively represent the PSNR and SSIM performance of the stripes (NonPer, 50, 0.2).

239 4.2. Real experiments

We also display the destriping results of six methods for six real remote sensing images, which are also available on the website⁸, see Fig. 7. Similar to Fig. 2, the six real images with different stripes are shown in the first row, and the destriping results of all comparing methods are presented from the second row to the end row.

In Fig. 7, for the first, fifth and last real images, the proposed method not only removes the stripes 244 completely, but also preserves image details on stripe-free regions well. Note that the methods GSLV 245 and LRSID fail to obtain excellent results for the first image as the mentioned in their papers. For the 246 forth column, there are also several stripe residuals with WFAF and SLD, and the wide black shadow 247 areas appear by the UTV, GSLV and LRSID methods. Moreover, the destriping results of the WFAF 248 and SLD leave significant stripes for the second image, and still exist the wispy stripes for the third 249 example. According to several real experiments, the results demonstrate the universal effectiveness 250 and stability of the proposed method. 251

252 4.3. More discussion

1) Qualitative Analysis. For the further comparisons of different destriping methods for simulated and real remote sensing images, we show the following two assessments. One is the mean cross-track profile that the x-axis stands for the column number of an image and the y-axis represents the mean value of each column, see Fig. 8 and Fig. 10. The other is the power spectrum that the x-axis is the normalized frequencies of an image, and the y-axis shows the spectral magnitude with a logarithmic scale, see Fig. 9 and Fig. 11.

In simulated experiments, the mean cross-track profile of the first image of Fig. 2 has been shown in Fig. 8. Note that Fig. 8 (a) shows the mean cross-track profile of the underlying image, and Fig. 8 (b) is the result of the degraded image. Moreover, Fig. 8 (c)-(f) are the mean cross-track profile results of the six destriping methods, respectively. From the overall perspective, Fig. 8 (d) and Fig. 8 (e) have obvious change of the intensity contrast. Seeing the details, Fig. 8 (c)-(g) have some mild fluctuations which are different with the underlying image in Fig. 8 (a). The proposed method shows the best performance, since it is almost same as the original one.

In addition, the power spectrum results of the second image of Fig. 2 has been shown in Fig. 9. We denote the power spectrum results as Fig. 9 (a)-(h) which represent the power spectrum results of the underlying image, the degraded image and the destriping results of six methods, respectively. Fig. 9 (c)-(g) have more fluctuations which indicate these methods may have the stripe residuals or bring a

⁸ https://ladsweb.nascom.nasa.gov/



Figure 7. The visual results of different real images. From top to bottom: the real images, the destriping results of WFAF, SLD, UTV, GSLV, LRSID and Ours. Readers are recommended to zoom in all figures for better visibility.

Mean val Mean 0.35 035 200 150 200 250 100 150 200 250 150 250 100 300 Line number Line number Line number Line number (a) (b) (c) (d) ean value Mean valu riev mes Mean 150 200 250 300 35 401 150 200 251 30 40 100 150 200 250 150 200 250 Line number Line number Line number Line number (f) (h) (e) (g)

little new noise in their destriping processes. For our method, *i.e.*, Fig. 9 (f), it not only removes all
stripes, but also preserves almost the essential details such as edges.

Figure 8. Spatial mean cross-track profiles for simulated image of the first simulated example of Fig. 2. (a) Underlying image. (b) Degraded image. Destriping results by (c) WFAF, (d) SLD, (e) UTV, (f) GSLV, (g) LRSID, (h) Ours.



Figure 9. Power spectrum for simulated image of the second example of Fig. 7. (a) Underlying image. (b) Degraded image. Destriping results by (c) WFAF, (d)SLD, (e) UTV, (f) GSLV, (g) LRSID, (h) Ours.

In real experiments, we also show the mean cross-track profile and the power spectrum in Fig. 10 and Fig. 11, respectively. Fig. 10 shows the mean cross-track profile results of the first column of Fig. 7. Note that Fig. 10 (a) is the mean cross-track profile result of the first real remote sensing image, and Fig. 10 (b)-(g) show the profile results of six destriping methods, respectively. In general, the profiles of the destriping method should smoothen huge fluctuates and maintain primary structure information. However, the profiles of WFAF and LRSID have obvious fluctuations where the stripes still exist, and that of SLD is over-smooth missing a lot of underlying image details. In Fig. 10 (d) and Fig. 10 (e), although stripes are mostly removed, the destriping profiles have some mild burrs and too much smoothness because of the unidirectional property of UTV and the global sparsity of GSLV, respectively. In addition, the profile of the proposed method, *i.e.*, Fig. 10 (g), can realize the desired result both on removing stripes and keeping underlying image details.

In Fig. 11, the power spectrum results of the forth example of Fig. 7 are plotted. Fig. 11 (a)-(h) represent the power spectrum results of the forth real remote sensing image and six destriping methods, respectively. We observe that the real remote sensing image in Fig. 11 (a) has much fluctuates where stand for stripes. According to the power spectrum results of the six methods in Fig. 11 (b)-(f), although the stripes are almost removed well, there are still some slight blurring regions, while the proposed method shows the best performance in Fig. 11 (g).



Figure 10. Spatial mean cross-track profiles for the first real example of Fig. 7. (a) Real image. Destriping results by (b) WFAF, (c)SLD, (d) UTV, (e) GSLV, (f) LRSID, (g) Ours.

2) The influence of different regularization terms in the proposed model. Fully considering the destriping problem (2) and the optimization model (12), we assume that R_2 is a necessary term, since R_2 is the only term to describe the property of the underlying image **u**. To confirm whether both R_1 and R_2 are necessary priors as well as have significant contribution for destriping performance, in Fig. 12, we give the mean value of PSNR and SSIM for 32 images as before. Here, R_{12} represents $R_1 + R_2$, R_{23} stands for $R_2 + R_3$ and R_{123} represents $R_1 + R_2 + R_3$ (*i.e.*, the proposed model). Please find the definitions of R_1 , R_2 , R_3 from Eq. (9), Eq. (10) and Eq. (11), respectively.

Fig. 12 (I) and Fig. 12 (II) show the mean value of PSNR and the mean value of SSIM on 32 images same as before for periodic stripes. The periodic stripe levels (a)-(f) are (Per, 10, 0.2), (Per, 10, 0.6), (Per, 50, 0.2), (Per, 50, 0.6), (Per, 100, 0.2) and (Per, 100, 0.6), respectively. Moreover, Fig. 12 (III) and Fig. 12 (IV) display the mean value of PSNR and the mean value of SSIM on 32 images for nonperiodic stripes. The nonperiodic stripe levels (a)-(f) stand for (NonPer, 10, 0.2), (NonPer, 10, 0.6), (NonPer, 50, 0.2), (NonPer, 50, 0.6), (NonPer, 100, 0.2) and (NonPer, 100, 0.6), respectively.

From the results in Fig. 12, we can conclude three points. 1) The results both PSNR and SSIM of the proposed model (*i.e.*, R_{123}) perform the best than those of the other two models. 2) For R_{12} and R_{23} , R_{23} shows more stability than R_{12} as the green bars do not significantly change with different stripes.



Figure 11. Power spectral for the forth real example of Fig. 7. (a) Real image. Destriping results by (b) WFAF, (c)SLD, (d) UTV, (e) GSLV, (f) LRSID, (g) Ours.



Figure 12. The influence of different terms in the proposed model. R_{12} represents $R_1 + R_2$, R_{23} stands for $R_2 + R_3$ and R_{123} represents $R_1 + R_2 + R_3$ (*i.e.*, the proposed model). (I) The mean PSNR performance on 32 images for periodic stripes with different stripe levels; (II) The mean SSIM performance on 32 images for periodic stripes with different stripe levels; The stripe levels (a)-(f) stand for (Per, 10, 0.2), (Per, 10, 0.6), (Per, 50, 0.2), (Per, 50, 0.6), (Per, 100, 0.2) and (Per, 100, 0.6), respectively. (III) The mean PSNR performance on 32 images for nonperiodic stripes with different stripe levels; (IV) The mean SSIM performance on 32 images for nonperiodic stripes with different stripe levels; (IV) The mean SSIM performance on 32 images for nonperiodic stripes with different stripe levels. The stripe levels (a)-(f) stand for (NonPer, 10, 0.2), (NonPer, 10, 0.6), (NonPer, 50, 0.2), (NonPer, 50, 0.6), (NonPer, 100, 0.2), (0.2) and (NonPer, 100, 0.6), respectively.

305 3) R_3 actually plays a more important role than R_1 with respect to PSNR (see Fig. 12 (I) and Fig. 12 306 (III)). On the contrary, R_1 plays a more important role than R_3 with respect to SSIM (see Fig. 12 (II) and 307 Fig. 12 (IV)). Fig. 12 demonstrates the effectiveness of the proposed model and the importance of the 308 three terms.

3) Parameters selection. In this paper, the proposed method mainly involves six parameters λ , μ , β_1 , β_2 , β_3 and β_4 . The stripes of different types can be removed by setting different parameters. For example, if the stripes are heavy, the μ should be small and the λ should be large.

For the simulated experiments, the parameters have the following setting: 1) For the periodic stripes, we empirically set the parameters as $\lambda = 1$, $\mu = 0.1$, $\beta_1 = \beta_2 = \beta_3 = 100$, $\beta_4 = 1000$. Under this parameter setting, it can generate a good performance for most of all examples. 2) For the non-periodic stripes, the parameters are empirically set as $\lambda = 1$, $\mu = 0.1$, $\beta_1 = 100$, $\beta_2 = 10$, $\beta_3 = 10$ and $\beta_4 = 1000$. Similarly, the proposed method can obtain an excellent performance under this parameter setting for most of images. For the real experiments, most of all examples show the superior results with $\lambda = 10$, $\mu = 1$ and $\beta_1 = \beta_2 = \beta_3 = \beta_4 = 1$. Note that, if fine tuning parameters for each images would get better results. To simplify the process of the parameter adjustment, we unify parameters to demonstrate the stability of the proposed method.

321 5. Conclusion

In this paper, we proposed a directionally non-convex ℓ_0 sparse model for remote sensing image destriping. This model was efficiently solved by the designed PADMM algorithm based on the MPEC reformulation. Furthermore, we also theoretically gave the corresponding proof of the convergence to the KKT point by this work. Experimental results on simulated and real data demonstrated the effectiveness of the proposed method, both quantitatively and visually. Moreover, the mean performance of Table 2 and Table 3 also exhibited the stability of our method to parameters and different stripes.

In the future, we will extend the proposed model to the oblique stripes removal by fully considering the latent properties of oblique stripes. Furthermore, the proposed method was only applied to single-band image stripe removal. We may extend our framework to multispectral or hyperspectral image stripe removal by some intrinsic properties, *e.g.*, low-rank and non-local priors.

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336 Appendix A Convergence of the proposed method

In fact, the global convergence of the ADMM algorithm has been proved under some conditions 337 [41], and that of the generalized ADMM is also verified in [42]. Wen *et al.* [43] show that the sequence 338 formed by ADMM can converge to a KKT point. Moreover, some researches give the convergence 339 property of proximal ADMM (PADMM), see [31] and [44]. Considering our non-convex optimization 340 model, convergence to a stationary point (local minimum) is the best convergence property. Similarly, 341 in this paper, we design a PADMM based algorithm to solve the remote sensing image destriping 342 problem, as well as prove the convergence of the proposed algorithm which can converge to the KKT 343 point. Here, we denote that the limitation of vector is defined as pointwise convergence. For instance, 344 for $\mathbf{x}^k = (x_1^k, \dots, x_n^k)^T$, $\lim_{k \to \infty} \mathbf{x}^{k+1} - \mathbf{x}^k = 0$ represents that $\lim_{k \to \infty} x_i^{k+1} - x_i^k = 0, i = 1, \dots, n$. 345 theorem[Convergence of Algorithm 1] Let $\mathbf{P} \triangleq (\mathbf{h}, \mathbf{z}, \mathbf{w}, \mathbf{v}, \mathbf{s}), \mathbf{Q} \triangleq (\pi_1, \pi_2, \pi_3, \pi_4). \{\mathbf{P}^k, \mathbf{Q}^k\}_{k=1}^{\infty}$ 346 is a sequence of the solution of Algorithm 1 after *k*-th iteration. Assume that $\lim_{k\to\infty}$ 347

($\mathbf{Q}^{k+1} - \mathbf{Q}^k$) = 0 and $\lim_{k\to\infty} \mathbf{s}^{k+1} - \mathbf{s}^k = 0$, then the accumulation point of the subsequence { $\mathbf{P}^k, \mathbf{Q}^k$ } is the KKT point which satisfies the KKT conditions.

Proof: For convenience, we define

S

$$\Delta \stackrel{\bullet}{=} \{ \mathbf{z} \mid \mathbf{0} \leq \mathbf{z} \leq \mathbf{1} \}.$$

Recall our optimization model

$$\min_{\mathbf{v}\in\Delta,\,\mathbf{s}} \langle \mathbf{1},\mathbf{1}-\mathbf{v}\rangle + \mu ||\mathbf{z}||_1 + \lambda ||\mathbf{w}||_1$$

$$t. \mathbf{v} \odot |\mathbf{h}| = 0, \, \nabla_{\mu}\mathbf{s} = \mathbf{h}, \, \mathbf{s} = \mathbf{z}, \, \nabla_{\mathbf{x}}(\mathbf{b}-\mathbf{s}) = \mathbf{w}.$$
(A1)

The Lagrange function L is

$$L(\mathbf{h}, \mathbf{z}, \mathbf{w}, \mathbf{v}, \mathbf{s}, \pi_1, \pi_2, \pi_3, \pi_4) = \langle \mathbf{1}, \mathbf{1} - \mathbf{v} \rangle + \mu ||\mathbf{z}||_1 + \lambda ||\mathbf{w}||_1 + \langle \nabla_y \mathbf{s} - \mathbf{h}, \pi_1 \rangle + \langle \mathbf{s} - \mathbf{z}, \pi_2 \rangle + \langle \nabla_x (\mathbf{b} - \mathbf{s}) - \mathbf{w}, \pi_3 \rangle + \langle \mathbf{v} \odot |\mathbf{h}|, \pi_4 \rangle,$$
(A2)

where π_1 , π_2 , π_3 and π_4 are Lagrange multipliers. Now, we give the first-order optimal conditions of the proposed problem for L(**h**^{*}, **z**^{*}, **w**^{*}, **v**^{*}, **s**^{*}, $\pi_1^*, \pi_2^*, \pi_3^*, \pi_4^*$).

$$0 = \nabla_y^T \pi_1^* + \pi_2^* - \nabla_x^T \pi_3^*,$$

$$0 \le \langle \pi_4^* \odot | \mathbf{h}^* | - \mathbf{1}, \mathbf{v} - \mathbf{v}^* \rangle, \quad \forall \mathbf{v} \in \Delta$$

$$0 \in -\pi_1^* + \pi_4^* \odot \mathbf{v}^* \odot \partial ||\mathbf{h}^*||_1$$

$$0 \in -\pi_2^* + \mu \partial ||\mathbf{z}||_1$$

$$0 \in -\pi_3^* + \lambda \partial ||\mathbf{w}||_1$$

$$0 = \nabla_y \mathbf{s}^* - \mathbf{h}^*$$

$$0 = \mathbf{s}^* - \mathbf{z}^*$$

$$0 = \nabla_x (\mathbf{b} - \mathbf{s}^*) - \mathbf{w}^*$$

$$0 = \mathbf{v}^* \odot |\mathbf{h}^*|$$

(A3)

The Robinson's constraint qualification can guarantee the existence of the optimization solution. Next, we will confirm the convergence property of the designed PADMM based algorithm with a convergence sequence under the similar assumption condition in [43]. The augmented Lagrangian function $\mathcal{L}(\mathbf{h}, \mathbf{z}, \mathbf{w}, \mathbf{v}, \mathbf{s}, \pi_1, \pi_2, \pi_3, \pi_4, \beta_1, \beta_2, \beta_3, \beta_4)$, which is in Eq. (16), is denoted as \mathcal{L}_{β} . Note that, the Lagrangian function L is used to get the KKT conditions. Then we prove that the solution of the augmented Lagrangian function \mathcal{L} , which is solved by Algorithm 1, can satisfy the KKT conditions.

(i) According to the limit of \mathbf{Q}^k and the update formula of the multipliers \mathbf{Q}^{k+1} , we can get

$$\lim_{k \to \infty} \nabla_y \mathbf{s}^{k+1} - \mathbf{h}^{k+1} = \mathbf{0},\tag{A4}$$

$$\lim_{k \to \infty} \mathbf{s}^{k+1} - \mathbf{z}^{k+1} = \mathbf{0},\tag{A5}$$

$$\lim_{k \to \infty} \nabla_{\boldsymbol{x}} (\mathbf{b} - \mathbf{s}^{k+1}) - \mathbf{w}^{k+1} = \mathbf{0},$$
(A6)

$$\lim_{k \to \infty} \mathbf{v}^{k+1} \odot |\mathbf{h}^{k+1}| = \mathbf{0}.$$
 (A7)

(ii) According to the limit of π_1^k , π_4^k , and the \mathbf{h}^{k+1} subproblem of \mathcal{L}_β in Eq. (17), we can get

$$\lim_{k\to\infty}\mathbf{h}^{k+1} \in \arg\min_{\mathbf{h}} \langle \nabla_y \mathbf{s}^{k+1} - \mathbf{h}, \pi_1^{k+1} \rangle + \frac{\beta_1}{2} ||\nabla_y \mathbf{s}^{k+1} - \mathbf{h}||^2 + \langle \mathbf{v}^{k+1} \odot |\mathbf{h}|, \pi_4^{k+1} \rangle + \frac{\beta_4}{2} ||\mathbf{v}^{k+1} \odot |\mathbf{h}|||^2,$$

By the first optimality condition of **h**, we have

$$\lim_{k \to \infty} -\pi_1^{k+1} + \pi_4^{k+1} \odot \mathbf{v}^{k+1} \odot \partial ||\mathbf{h}^{k+1}||_1 \ni \mathbf{0}.$$
(A8)

(iii) According to the limit of π_2^k , and the \mathbf{z}^{k+1} subproblem of \mathcal{L}_β in Eq. (21), we can get

$$\lim_{k\to\infty} \mathbf{z}^{k+1} \in \arg\min_{\mathbf{z}} \ \mu ||\mathbf{z}||_1 + \langle \mathbf{s}^{k+1} - \mathbf{z}, \pi_2^{k+1} \rangle + \frac{\beta_2}{2} ||\mathbf{s}^{k+1} - \mathbf{z}||^2,$$

By the first optimality condition of \mathbf{z} , we have

$$\lim_{k \to \infty} -\pi_2^{k+1} + \mu \partial || \mathbf{z}^{k+1} ||_1 \ni \mathbf{0}.$$
 (A9)

(iv) According to the limit of π_3^k , and the \mathbf{w}^{k+1} subproblem of \mathcal{L}_β in Eq. (23), we can get

$$\lim_{k\to\infty}\mathbf{w}^{k+1}\in \arg\min_{\mathbf{w}} \ \lambda\|\mathbf{w}\|_1+\frac{\beta_3}{2}||\nabla_x(\mathbf{b}-\mathbf{s}^{k+1})-\mathbf{w}+\frac{\pi_3^{k+1}}{\beta_3}||^2,$$

By the first optimality condition of **w**, we have

$$\lim_{k \to \infty} -\boldsymbol{\pi}_3^{k+1} + \lambda \partial || \mathbf{w}^{k+1} ||_1 \ni \mathbf{0}.$$
(A10)

(v) According to the limit of π_4^k , \mathbf{h}^k and the \mathbf{v}^{k+1} subproblem of \mathcal{L}_β in Eq. (25), we can get

$$\lim_{k\to\infty} \mathbf{v}^{k+1} \in \arg\min_{\mathbf{0}\leq \mathbf{v}\leq \mathbf{1}} \quad \langle \mathbf{v}, \boldsymbol{\pi}_4^{k+1} \odot |\mathbf{h}^{k+1}| - \mathbf{1} \rangle + \frac{\beta_4}{2} ||\mathbf{v} \odot |\mathbf{h}^{k+1}|||^2,$$

By the first optimality condition of **v**, we have

$$\lim_{k \to \infty} \langle \pi_4^{k+1} \odot | \mathbf{h}^{k+1} | -\mathbf{1}, \, \mathbf{v} - \mathbf{v}^{k+1} \rangle \ge \mathbf{0}, \quad \forall \mathbf{v} \in \triangle.$$
(A11)

(vi) According to the limit of \mathbf{s}^{k+1} and the update formula of \mathbf{s}^{k+1} subproblem of \mathcal{L}_{β} in Eq. (27), we have the first optimality condition of \mathbf{s} is

$$\nabla_y^T \boldsymbol{\pi}_1^{k+1} + \beta_1 \nabla_y^T (\nabla_y \mathbf{s}^{k+1} - \mathbf{h}^{k+1}) + \boldsymbol{\pi}_2^{k+1} + \beta_2 (\mathbf{s}^{k+1} - \mathbf{z}^{k+1}) - \nabla_x^T \boldsymbol{\pi}_3^{k+1} \\ - \beta_3 \nabla_x^T (\nabla_x^T (\mathbf{b} - \mathbf{s}^{k+1}) - \mathbf{w}^{k+1}) + \mathbf{D} (\mathbf{s}^{k+1} - \mathbf{s}^k) = \mathbf{0}.$$

Combining it with (A4), (A5), (A6) and (A7), then we have

$$\lim_{k \to \infty} \nabla_x^T \pi_1^{k+1} + \pi_2^{k+1} - \nabla_y^T \pi_3^{k+1} + \mathbf{D}(\mathbf{s}^{k+1} - \mathbf{s}^k) = \mathbf{0}.$$
 (A12)

Since the formula $\lim_{k\to\infty} \mathbf{s}^{k+1} - \mathbf{s}^k = \mathbf{0}$ and the matrix **D** is a positive definite, so we have $\lim_{k\to\infty} \mathbf{D}(\mathbf{s}^{k+1} - \mathbf{s}^k) = \mathbf{0}$. Thus, we have

$$\lim_{k \to \infty} \nabla_x^T \pi_1^{k+1} + \pi_2^{k+1} - \nabla_y^T \pi_3^{k+1} = \mathbf{0}.$$
 (A13)

Combining (A4), (A5), (A6), (A7), (A8), (A9), (A10), (A11) and (A13), we conclude that the $\{\mathbf{P}^k, \mathbf{Q}^k\}$ is the sequence generated by the Algorithm 1, and as $k \to \infty$, there exists a subsequence $\{\mathbf{P}^k, \mathbf{Q}^k\}$, whose accumulation point satisfies the KKT conditions in Eq. (A3).

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